



DOCKET NO: E0295.70146US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Black
Serial No: 09/605,201
Confirmation No: 4782
Filed: June 27, 2000
For: METHOD AND APPARATUS FOR MAINTAINING
INVENTORY OF LOGICAL VOLUMES STORED ON
STORAGE ELEMENTS

4/27/A1
10/8/03

Examiner: Peyton, Tamarra R.
Art Unit: 2182

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 11th day of September, 2003.

Richard F. Giunta

Richard F. Giunta, Reg. No. 36,149

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10/9/03
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SEP 17 2003

Technology Center 2100

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

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PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Inventor(s)</u>	<u>Attorney Docket No.</u>
09/604,853	June 27, 2000	Black	E00295.70116.US
09/605,040	June 27, 2000	Black	E00295.70120.US
09/605,043	June 27, 2000	Black	E00295.70118.US
09/605,044	June 27, 2000	Black	E00295.70117.US
09/605,378	June 27, 2000	Black	E00295.70147.US
09/605,553	June 27, 2000	Black	E00295.70119.US
09/605,554	June 27, 2000	Black	E00295.70150.US

Each of the above-referenced applications was filed on the same day, and includes a related specification. In addition, many of these applications, like the present application, include claims directed to an enterprise logical volume identifier (ELVID). The cases referenced above are in various stages of prosecution, and in each of the cases rejections of the claims have been made over the prior art. Thus, the Examiner is invited to look to the prosecution of the above-listed cases to determine whether any of the prior art cited therein and/or the way in which it has been applied by the Examiners in the other cases may be relevant to the Examiner's analysis here. If any further information is desired from Applicant, please let us know.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

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Conf. No.: 4782

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Art Unit: 2182



Respectfully submitted,
David Black, Applicant

By: *Richard F. Giunta*
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Confirmation. No.: 4782
Filed: June 27, 2000
For: METHOD AND APPARATUS FOR MOVING LOGICAL ENTITIES
AMONG STORAGE ELEMENTS IN A COMPUTER STORAGE
SYSTEM
Examiner: Peyton, Tammara R.
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Richard F. Giunta
Signature

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Sir:

Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement
- ☒ PTO Form 1449 with cited references
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$180.00 is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,
David Black, Applicant

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